



ROCKHOLD

# Rockhold Asset Management

Our Model Portfolios

# Rockhold Asset Management & 7IM

Rockhold Asset Management is part of the 7IM group.

7IM are a client-centric, technology-driven wealth and investment manager founded in 2003. From their offices in around the UK, more than 450 talented people manage over £24 billion in assets for a range of clients, including individuals and families, financial advisers, corporates, charities and trustees.

The ownership of 7IM is split between partners and the majority shareholder, Ontario Teachers' Pension Plan.

## **Ontario Teachers' Pension Plan**

Ontario Teachers' Pension Plan is one of the largest pension plans in the world, delivering retirement security for over 340,000 working and retired teachers in Ontario.

With over 35 years of history investing in businesses around the world, and over £150 billion\* (CAD 270 billion) in assets, they're an owner and partner who give 7IM and Rockhold the financial foundation to continue providing advisers with everything they need to grow their business.

\*As at December 31, 2025



# What Rockhold Asset Management offer

We only work with a limited number of financial advisers who are utilising the services of the Sense and Lyncombe advice networks.

When it comes to investing your money, we have always worked with partners who are specialists in their field and who align with our approach to successful investing, to help us provide positive risk-adjusted returns for clients over the medium to long term.

7IM's investment approach aligns with ours, and their comprehensive process ensures that the our managed portfolios are made up of the most appropriate mix of assets for any given client, at any given time.

# The main pillars of our approach to investing:

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### **Control:**

Understanding your risk tolerance and ensuring that your portfolio behaves in line with this. We do this by aligning our portfolios with the risk assessment tools that your adviser uses to assess this tolerance.

### **Strength:**

A global approach to asset allocation. Rather than relying on industry standard investment processes that are susceptible to extreme risk events occurring in individual countries' stock and bond markets, 7IM have developed proprietary processes that aim to reduce the impact of such occurrences.

### **Agility:**

A diverse portfolio (one with a mix of assets) held over the medium-to-long term is the best way to achieve positive, risk-adjusted returns. Our managed portfolios offer flexibility and allow us to tailor investment solutions in the most cost-effective way.

To achieve your investment objectives, when selecting investments we use 'quantitative and qualitative' techniques (that is, we consider the available information and evaluate the likely outcomes). We also carry out extensive research to shape our view on the world economy.

### **Balance:**

We offer active, passive and hybrid (a mixture of the two) portfolios to meet a range of investment objectives. Your adviser or financial planner will explain the difference and help you decide which is most appropriate for you.

# Our Investment Process

We believe to do anything properly, there needs to be a plan. There's no point in setting out on a journey if you don't know where you're going, and there's no point in having a destination if you haven't thought about how to get there.

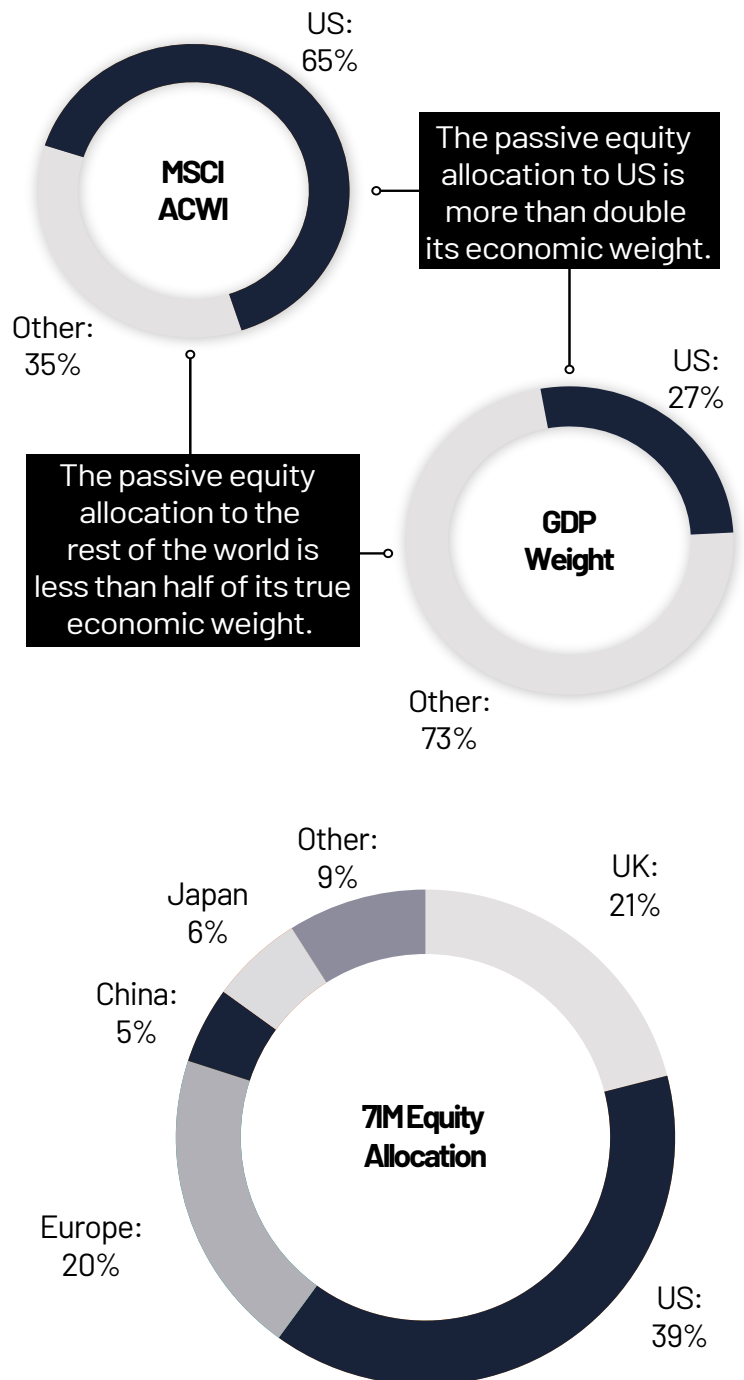
We build a foundation for all investors which maximises diversification as much as possible. Portfolios which are exposed to multiple sources of return deliver better outcomes for investors over the long-run. So we start there.



# Our broad principles:

When it comes to your equities exposure, getting your mix of assets, or asset allocation as it's referred to, correct is the starting point for success in delivering returns. There are hundreds of commercially available stock market indices, but many follow a process which leads to a high degree of concentration. This can lead to countries and companies having a disproportional allocation when compared to, say, the size of their economy. For example, take the MSCI All Country World Index shown below. This benchmark aims to represent the global stock market by tracking large and medium-sized companies across both developed and emerging economies. This can lead to a portfolio which is dominated by one specific country – so if anything goes wrong, the whole portfolio suffers – the opposite of diversification!

7IM prefer a different approach. While they pay attention to the breakdown of the global stock market index, they lean towards a more broadly representative form of the world, with a tilt towards to UK, due to global nature of the business listed there.



The above example is based on information at the time of publication and weightings may change over time.

## Our broad principles

### Continued

They also apply a similar philosophy to bond allocations.

The above approach is applied to the Passive portfolios.

Our Hybrid and Active portfolio also apply further tactical tilts to the passive starting point, reacting to risks and opportunities on a shorter-term basis. This could include increasing or decreasing the allocation to stocks or bonds, shifting money between different regions or sectors, or using alternative asset classes for further diversification.

All of this work, from long-term through to short-term, is underpinned by solid quantitative and statistical analysis, with an overlay of judgement by a team of more than 20 experienced professionals.

This puts the Rockhold MPS offering on solid ground for the future, while sticking to the principles which have worked so well for us up until now.

# Target Market

Our portfolios are suitable for all types of retail and professional customers who are receiving advice from a financial adviser. As you will be investing in assets on the stock market, you should be prepared to invest for at least five years.

Our portfolios are designed to generate growth but do not provide any guarantee to produce returns.

If you invest in our portfolios, you should be in a financial position where a fall in the value of your investment would not reduce your standard of living. Your financial adviser will decide on the most appropriate portfolio for you, based on your risk profile.

We do not offer any investments that come with no investment risk, or that are very high risk.

Our sustainable portfolios are specifically designed for investors who want their portfolio to concentrate on sustainable investments. This may result in a different outcome in terms of risk and reward when compared against a similar portfolio taking a non-sustainable approach.



# Get in touch

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Important Information: Your capital is at risk. The value of your investment (and any income from them) can go down as well as up and you may not get back the full amount you invested. Past performance is not a reliable indicator of future performance. Investments should be considered over the longer term and should fit in with your overall attitude to risk and financial circumstances.

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